



Max-Planck-Institut für Physik  
(Werner-Heisenberg-Institut)



# The *AWAKE* Experiment: Beam-Plasma Interaction Simulations

IOP HEPP/APP Annual Meeting  
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**Scott Mandry**

University College London

Max-Planck-Institut für Physik, München

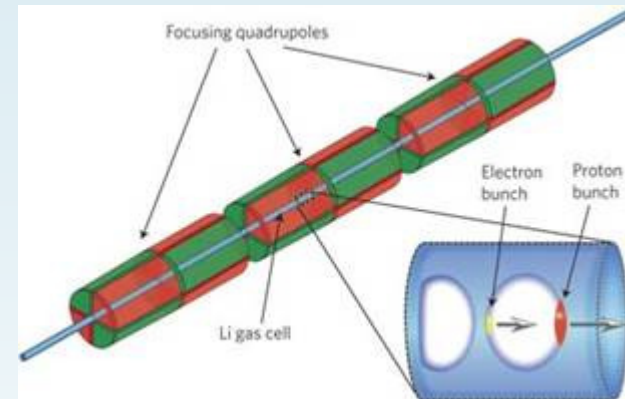
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# Outline

1. What is proton-driven plasma wakefield acceleration?
2. The AWAKE experiment
3. Simulations
  - 3.1 Experiment-level simulations
  - 3.2 Theoretical-benchmarking simulations
4. Conclusions

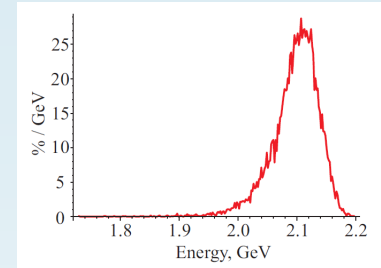
# 1. Proton-driven plasma wakefield acceleration

- Conventional RF accelerators are limited to 150 MV/m.
- Current proposals for lepton colliders range from *30-100 km*.

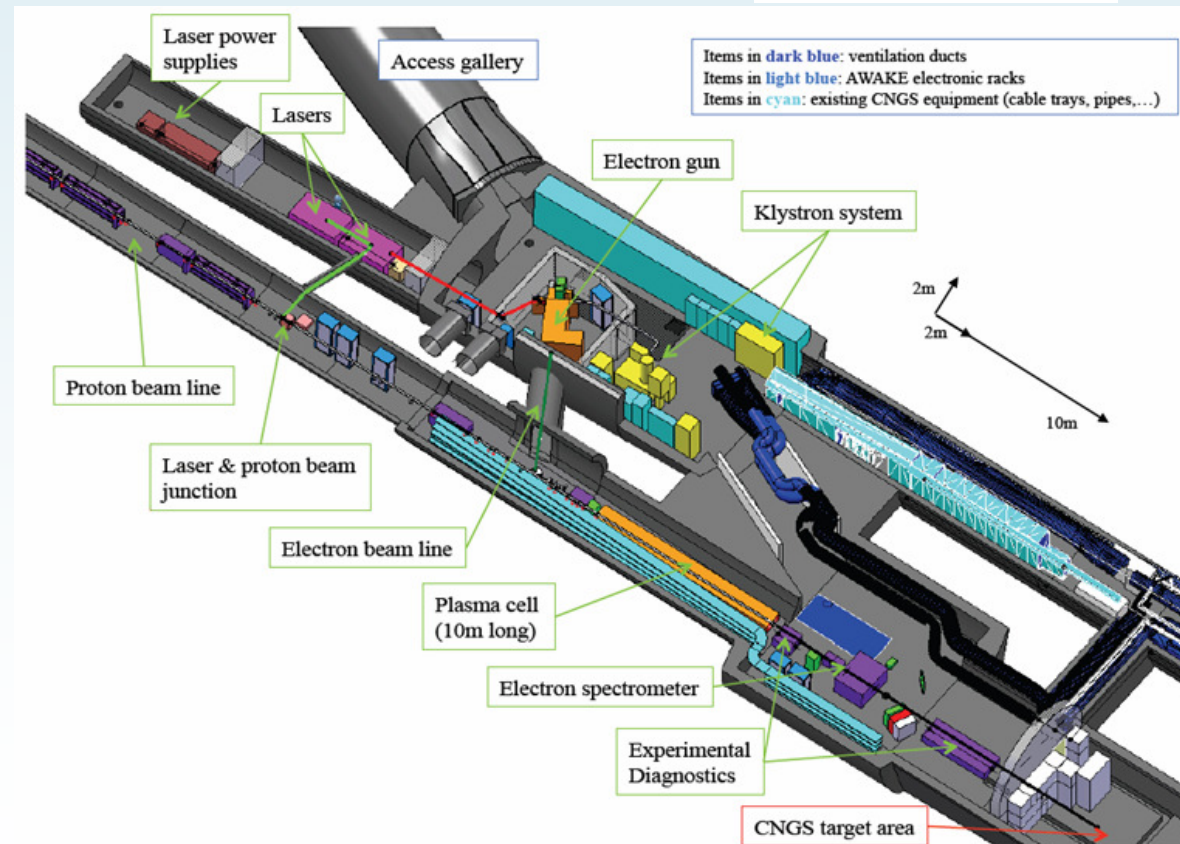


- Laser driven plasma wakefield accelerators has demonstrated 100 GV/m over a few cm.
- Using  $\sim$ TeV protons, it may be possible to accelerate  $e^-/e^+$  at  $\sim$ 1 GV/m over the *km* scale.
- For now we want to do this over the 10 m scale.

## 2. The AWAKE experiment



- Proof of principle experiment to accelerate electrons by  $2\text{ GeV}$  in  $\sim 7\text{m}$  of plasma.
- Will use the CERN SPS  $400\text{ GeV}$  proton beam to drive a plasma wakefield.
- Due to start late 2016.



## 3. Simulations

### 3.1 Experiment-level simulations

## 3.1 Experiment-level simulations

### The Simulation Code Used

- The open source **Particle-in-cell (PIC) code EPOCH**.<sup>[1]</sup>
- Simulations are a **2D slab** (Cartesian) geometry, but the underlying physics is 3D.
- **Very computationally intensive**
  - Takes typically 5 core years to run on the MPI supercomputer Hydra.
  - Therefore *not suitable* for parameter scans.

[1] C.S. Brady, T.D. Arber (2011) *Plasma Phys. Control. Fusion*, 53, 015001.

## 3.1 Experiment-level simulations

### Experimental parameters (e<sup>-</sup> side-injection)

Drive-beam (protons)	
p <sup>+</sup> /bunch	$3.5 \times 10^{11}$
$p$	450 GeV
$\sigma_r$	0.19 mm
$\sigma_z$	12 cm

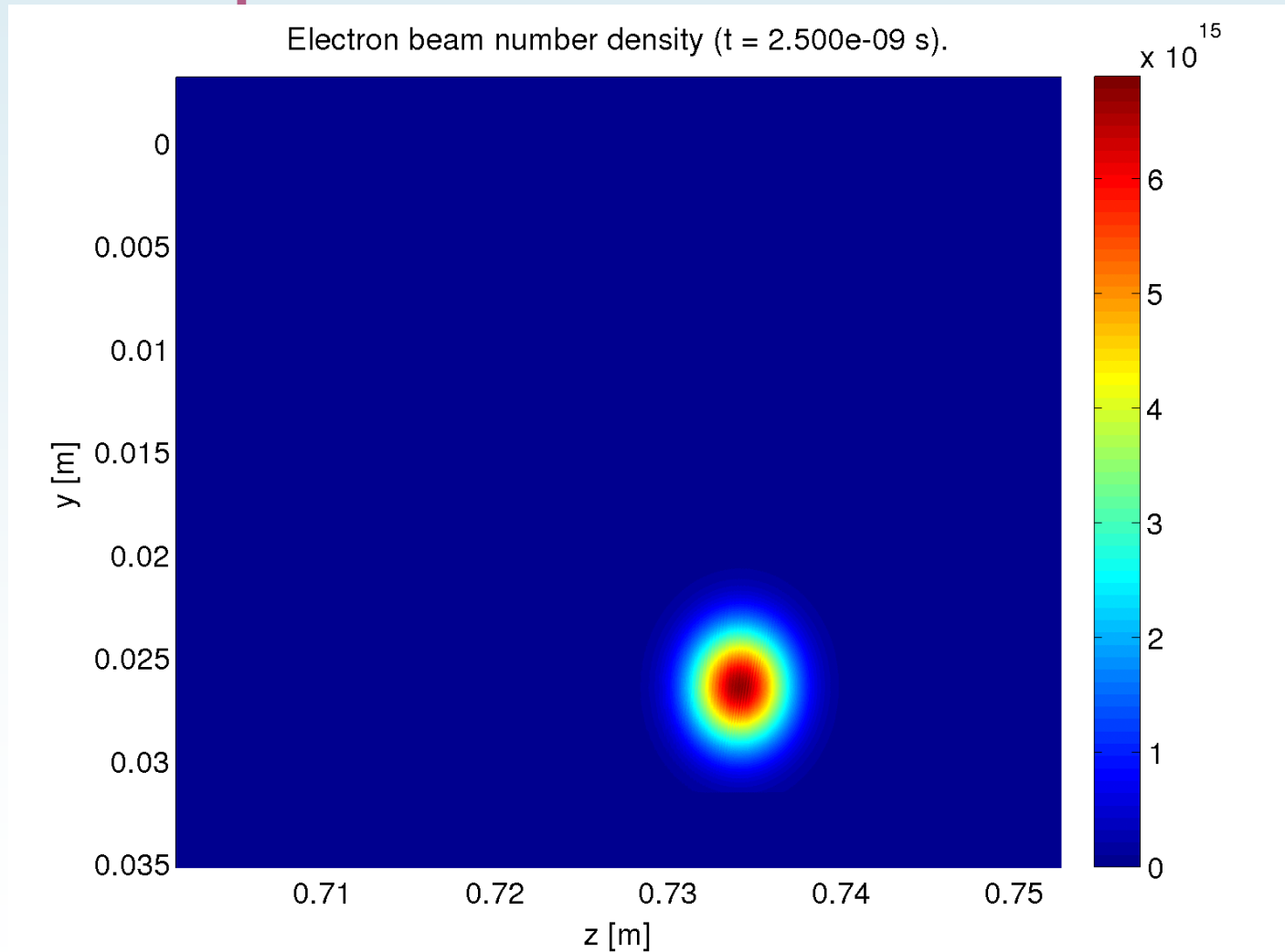
Plasma	
Element	caesium
Number density	$7.7 \times 10^{14} \text{ cm}^{-3}$
Plasma wavelength	1.2 mm

Captured-beam (electrons)	
e <sup>-</sup> /bunch	$10^9$
$p$	20 MeV
$\sigma_r$	2 mm
$\sigma_z$	2 mm

Beam-injection	
Laser ionisation	p <sup>+</sup> centroid
e <sup>-</sup> injection angle	5 mrad
e <sup>-</sup> -p <sup>+</sup> intersection	3 m
e <sup>-</sup> -p <sup>+</sup> delay	20 cm

# 3.1 Experiment-level simulations

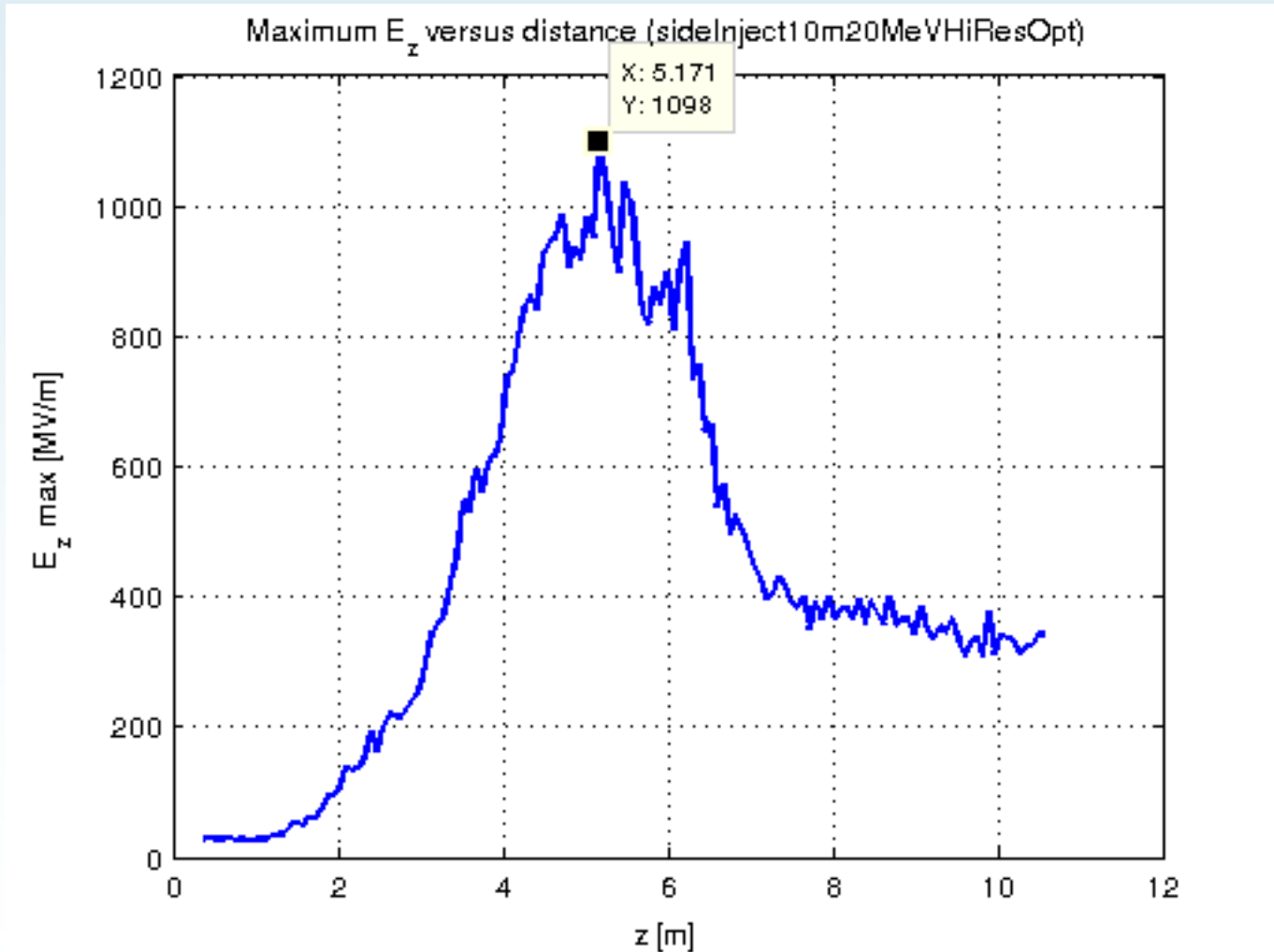
## Electron capture





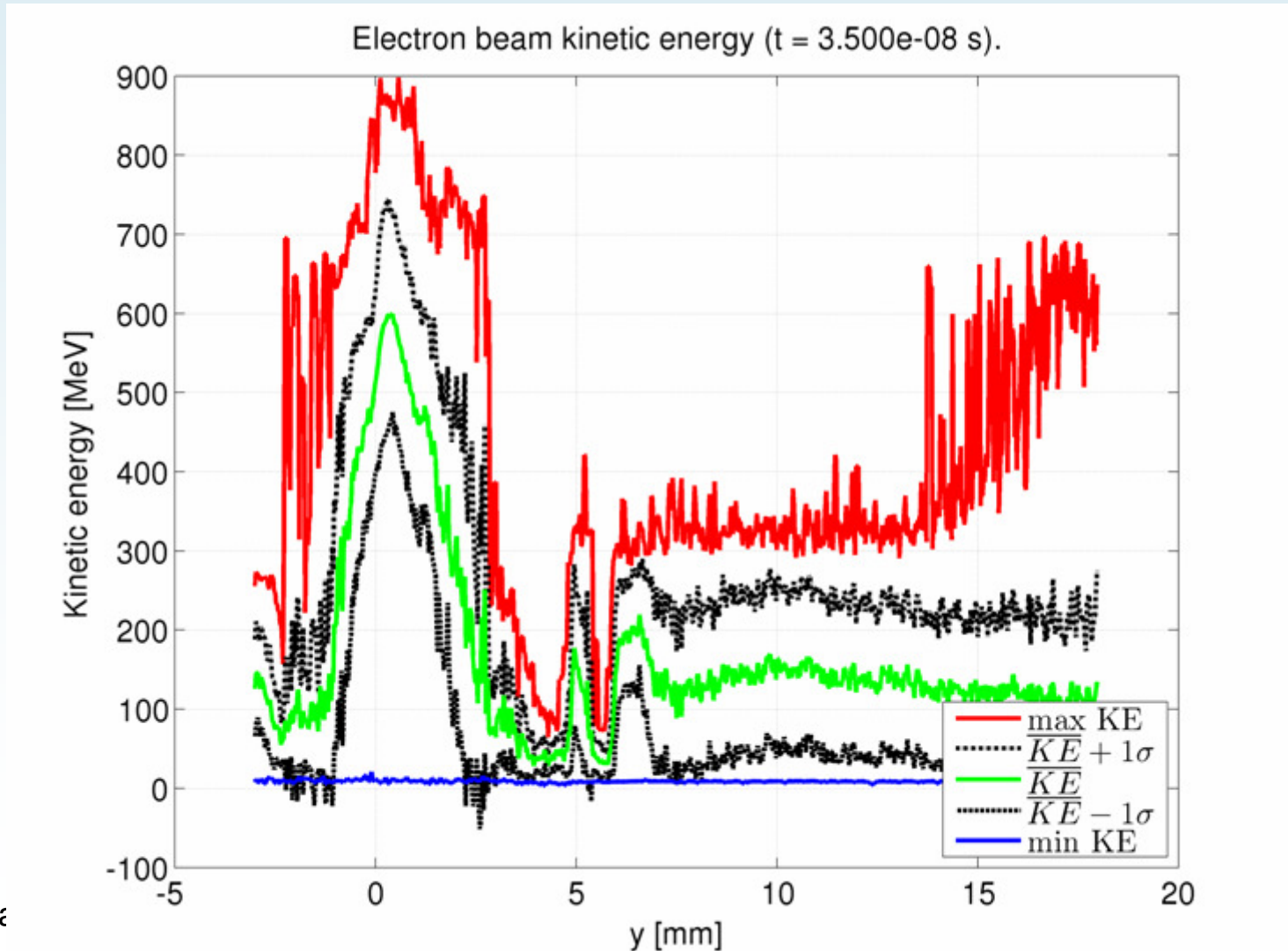
# 3.1 Experiment-level simulations

## $E_z$ Max (z)



# 3.1 Experiment-level simulations

## Electron Kinetic Energy Distribution (10.5m)



## 3. Simulations

### 3.2 Theoretical-benchmarking simulations

## 3.2 Theoretical-benchmarking simulations

### The Simulation Code Used

- Uses the freely distributed **hybrid code LCODE**.<sup>[2]</sup>
- *Not* open-source.
- Simulations are **2D cylindrical** geometry.
- **Computationally modest**
  - Takes ~1 week for a single core machine to process.
  - Not parallelisable, but more accessible.

[2] K.V.Lotov, (2003) *Phys. Rev. ST. Accel. Beams*, 6, 061301.

## 3.2 Theoretical-benchmarking simulations

### Theory 1/2

- Linear wakefield theory predicts *testable* results when driven by a rectangular bunch.
- This acts as a constant  $n_b$  in the integral:

$$E_z(\xi, r) = \frac{q_e}{\epsilon_0} \int_{-\infty}^{\xi} \int_0^{\infty} n_b(\xi, r) \cos(\xi - \xi') f(r) dr d\xi'$$

- ... so, we get a predicted longitudinal field of:

$$\Rightarrow E_z(\xi) = \frac{q_e n_b}{k_p \epsilon_0} \int_0^{\infty} f(r) dr \begin{cases} \sin(k_p \xi), & 0 \leq \xi \leq L_b \\ \sin(k_p \xi) - \sin(k_p (\xi - L_b)). & L_b < \xi \end{cases}$$

## 3.2 Theoretical-benchmarking simulations:

### Theory 2/2

- Building on work by Yun Fang<sup>[3]</sup>; for a *longitudinally* rectangular, *transversely* Gaussian beam, the E-field can be derived:
  - The E-field **inside the bunch** is:

$$E'_{z,\max} = \frac{Q}{\epsilon_0 L^2 \sigma_r^2} \int_0^\infty \exp\left(\frac{-r^2}{2\sigma_r^2}\right) K_0\left(\frac{2\pi r}{L_b}\right) r dr$$

- ...and the E-field **behind the bunch** is:

$$E''_{z,\max} = E'_{z,\max} T$$

...where  $T$  is the interference of the front & back edges (the transformer ratio):

$$T = \sqrt{2(1 - \cos k_p L_b)}$$

- Its maximum is 2:
  - when  $L_b = \lambda(n + 1/2)$
- Its minimum is 0:
  - when  $L_b = n\lambda$ .

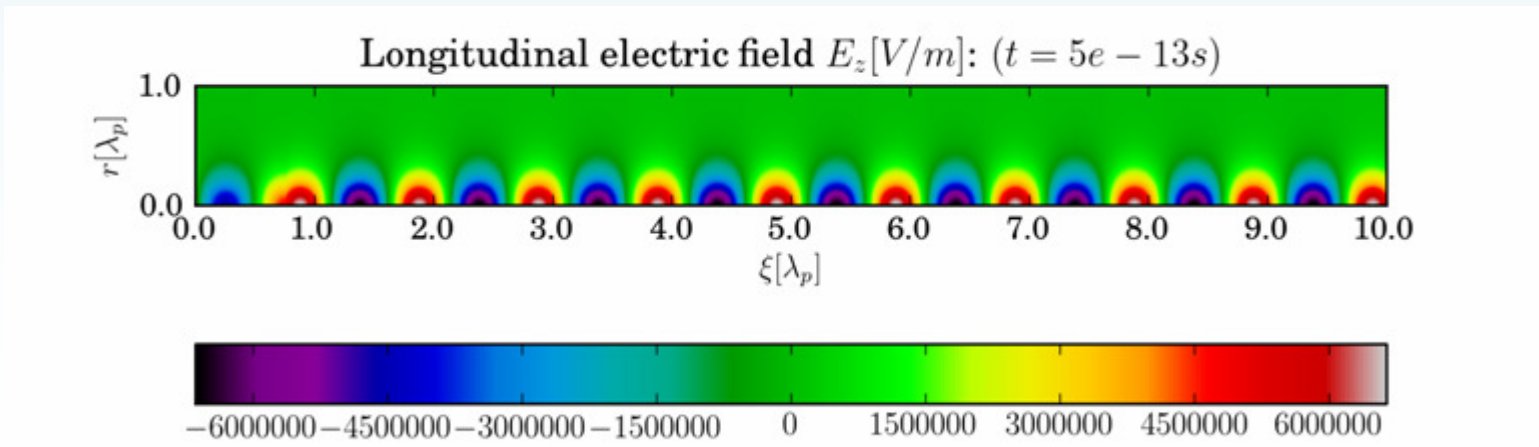
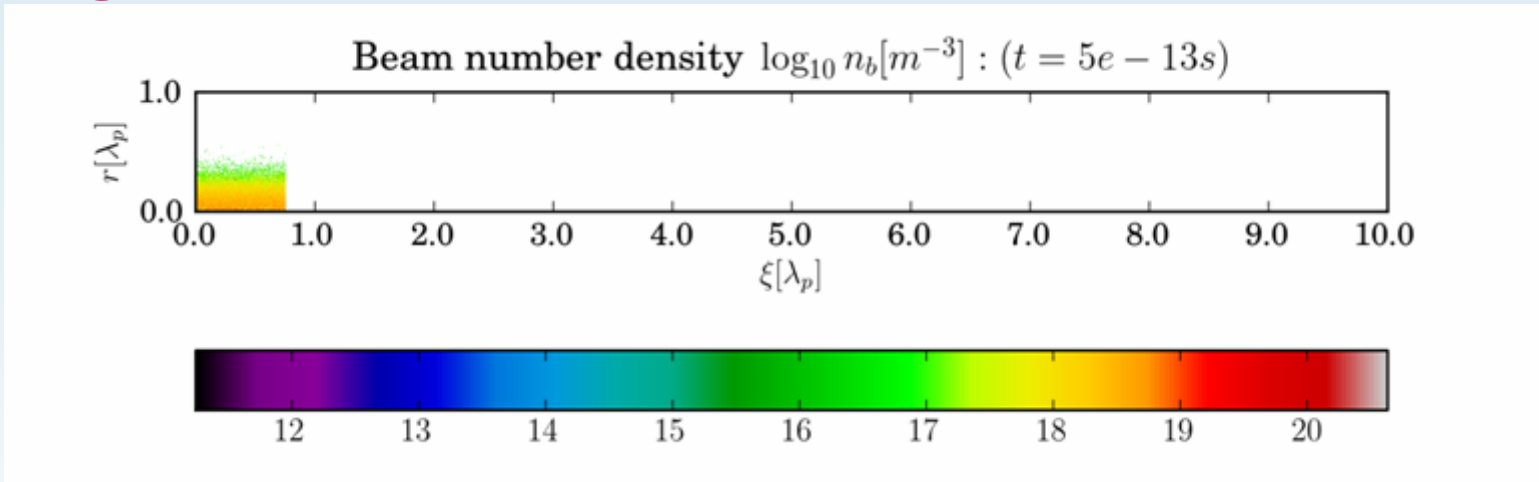
[3] PhD Thesis: *Resonant Excitation of Plasma Wakefield*

## 3.2 Theoretical-benchmarking simulations

Drive-beam (electrons)	
bunch charge	50 pC
$e^-/\text{bunch}$	$3.12 \times 10^8$
$p$	$58.3 \pm 0.48$ MeV
$\sigma_r$	0.12 mm
$L_z$	[1.0, 0.75, 0.5] mm
$\varepsilon_r$	13 mm mrad

Plasma	
Element	hydrogen
Number density	$1.1 \times 10^{15}$ cm <sup>-3</sup>
Plasma wavelength	1 mm

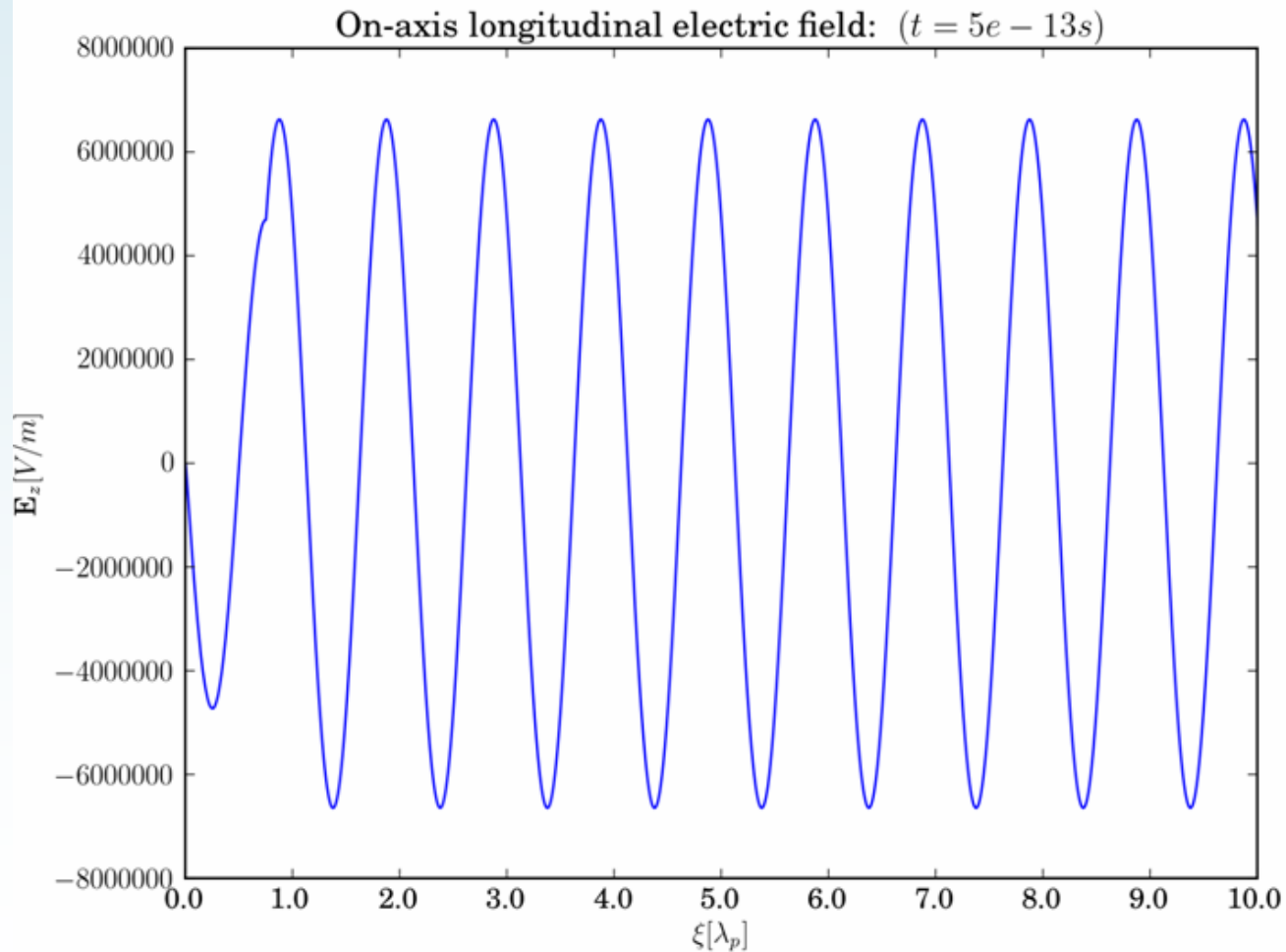
# 3.2 Theoretical-benchmarking simulations: 3/4 length bunch





## 3.2 Theoretical-benchmarking simulations

### $\frac{3}{4}$ length bunch



## 3.2 Theoretical-benchmarking simulations

### Results versus theory

	Simulation ( $\lambda_p = 1\text{ mm}$ )	$L_b/\lambda_p$	$\frac{Q}{\epsilon_0 L_b^2 \sigma_r^2}$	$\int_0^\infty \exp\left(\frac{-r^2}{2\sigma_r^2}\right) K_0\left(\frac{2\pi r}{L_b}\right) r dr$	$T$	$E_z(\text{theory})$ [MV/m]	$E_z(\text{sim.})$ [MV/m]	$\frac{E_z(\text{theory})}{E_z(\text{sim.})}$
Inside bunch	ATFsquareBunch	1	$3.92 \times 10^{14}$	$9.05 \times 10^{-9}$	-	3.55	3.5	<b>1.01</b>
	ATFsquareBunch3qtr	0.75	$6.97 \times 10^{14}$	$6.60 \times 10^{-9}$	-	4.60	4.75	<b>0.97</b>
	ATFsquareBunch1half	0.5	$1.57 \times 10^{15}$	$3.93 \times 10^{-9}$	-	6.16	7	<b>0.88</b>
Outside bunch	ATFsquareBunch	1	$3.92 \times 10^{14}$	$9.05 \times 10^{-9}$	0	0	0.05	-
	ATFsquareBunch3qtr	0.75	$6.97 \times 10^{14}$	$6.60 \times 10^{-9}$	$\sqrt{2}$	6.51	6.7	<b>0.97</b>
	ATFsquareBunch1half	0.5	$1.57 \times 10^{15}$	$3.93 \times 10^{-9}$	2	12.3	14	<b>0.88</b>

## 4. Conclusions

- AWAKE: Strong acceleration fields expected.
  - Up to 1GV/m
  - Average fields  $\sim 400$  MV/m, sustained over 10m
- Un-optimised EPOCH simulations see:
  - $\sim$  GeV energy electrons
  - Significant capture efficiencies
- With the new LCODE simulations...
  - We see agreement with theory to the 1%-10% level.
  - Can now start parameter scans to optimise the experiment, ready for 2016!
- Exciting times ahead!!!

*The end*

# Backup slides

# Background references

- **AWAKE experiment**
  - Technical design report: CERN SPSC-TDR-003.
  - M. Wing et al. (2014, Jan.) “Proton-driven plasma wakefield acceleration: a path to the future of high-energy particle physics,” arXiv:1401.4823.
  - Muggli et al. (2013) “Physics of the AWAKE project,” Proceedings of IPAC2013, TUPEA008.
- **Proposals for future RF  $e^+/e^-$  accelerators:**
  - The 150 MV/m RF accelerator limit:
    - G. Guignard et al. (2000) “A 3TeV  $e^+e^-$  linear collider based on CLIC technology,” CERN-2000-008.
  - The 30 km  $e^+/e^-$  proposal:
    - J. Brau et al. (2008, Jun.) “International Linear Collider Reference Design Report,” SLAC-R-857.
  - The 80-100 km  $e^+/e^-$  proposal:
    - A. Blondel et al. (2012, Aug.) “LEP3: A high luminosity  $e^+e^-$  collider to study the Higgs boson,” arXiv:1208.0504v2.
    - M. Koratzinos et al (2013, May) “TLEP: A High-Performance Circular  $e^+e^-$  Collider to study the Higgs Boson,” arXiv:1305.6498.
- **Laser-driven wakefield:**
  - 100 GV/m result:
    - W.P. Leemans et al. (2006, Oct.) “GeV electron beams from a centimetrescale accelerator,” Nature Physics, vol. 2, No. 10, pp. 696–699.
- **Particle-driven wakefield:**
  - Landmark proton beam simulations:
    - A. Caldwell et al. (May 2009) “Proton-driven plasma-wakefield acceleration,” Nature physics vol. 5, pp.363-367
  - SLAC energy doubling result:
    - I. Blumenfeld et al. (Feb 2007) “Energy doubling of 42 GeV electrons in a metre-scale plasma wakefield accelerator,” Nature vol. 4, no. 45, pp. 741-744.

# The AWAKE experiment

## Baseline design parameters

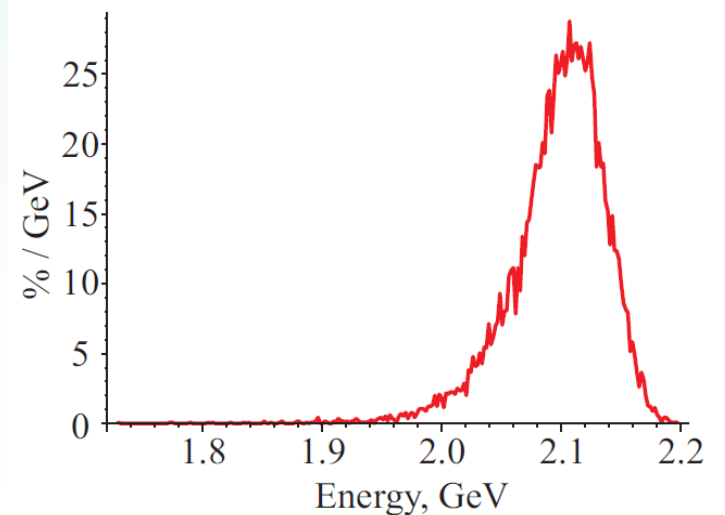
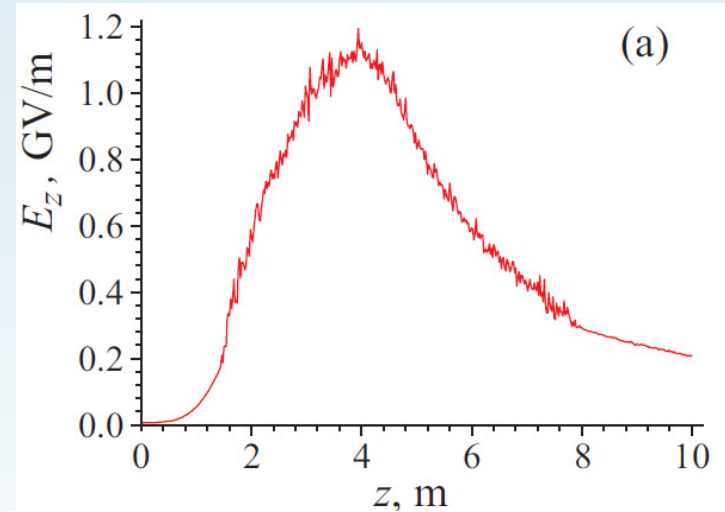
Table 1: Baseline parameters of the AWAKE experiment.

Parameter & notation	Value
Plasma density, $n_e$	$7 \times 10^{14} \text{ cm}^{-3}$
Plasma ion-to-electron mass ratio (rubidium), $M_i$	157 000
Proton bunch population, $N_b$	$3 \times 10^{11}$
Proton bunch length, $\sigma_z$	12 cm
Proton bunch radius, $\sigma_r$	0.02 cm
Proton energy, $W_b$	400 GeV
Proton bunch relative energy spread, $\delta W_b/W_b$	0.35%
Proton bunch normalized emittance, $\epsilon_{bn}$	3.5 mm mrad
Electron bunch population, $N_e$	$1.25 \times 10^9$
Electron bunch length, $\sigma_{ze}$	0.25 cm
Electron bunch radius at injection point, $\sigma_{re}$	0.02 cm
Electron energy, $W_e$	16 MeV
Electron bunch normalized emittance, $\epsilon_{en}$	2 mm mrad
Injection angle for electron beam, $\phi$	9 mrad
Injection delay relative to the laser pulse, $\xi_0$	13.6 cm
Intersection of beam trajectories, $z_0$	3.9 m

# The AWAKE experiment

## Expected performance (Baseline parameters)

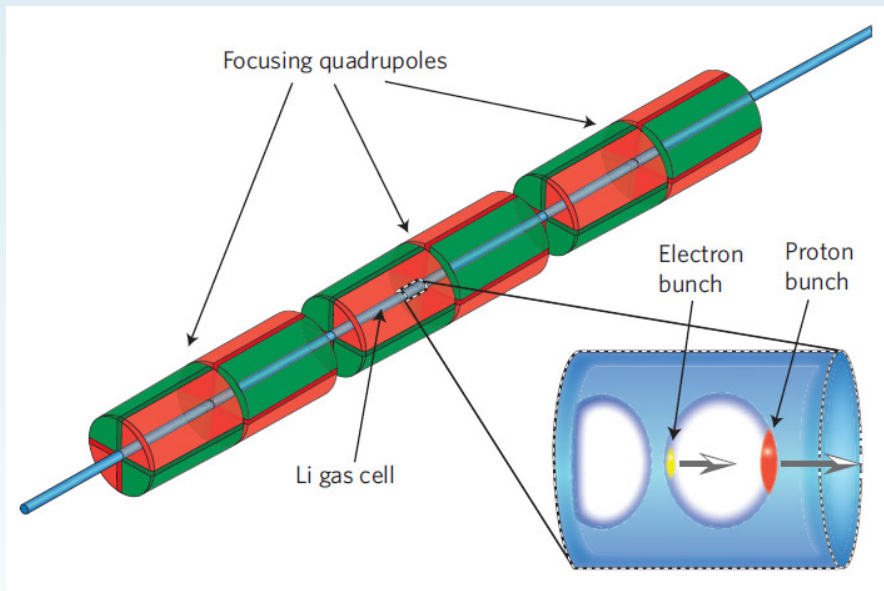
- Peak  $E_z$  fields of 1.1 GV/m expected
- Average fields  $\sim 400$  MV/m
- Hope to see electrons with:
  - $2 \text{ GeV} \pm 3\%$
  - 5% capture efficiency
  - $54 \pi \text{ mm mrad}$  norm. emittance.
- Higher capture efficiencies possible too, but with different run-parameters.



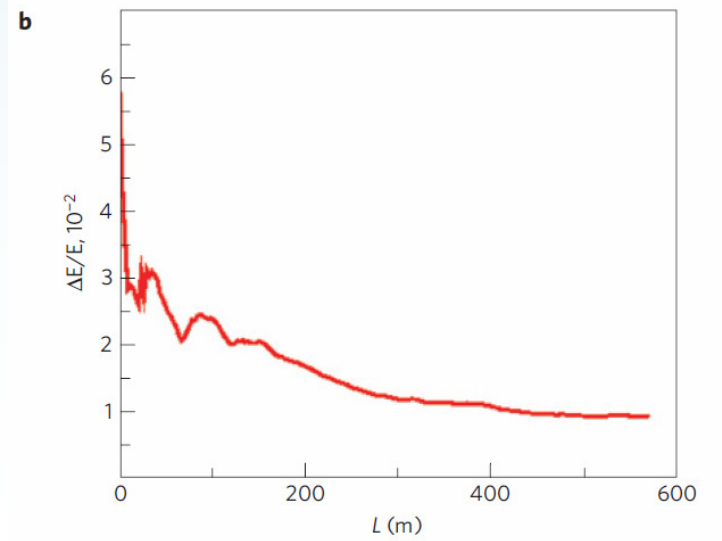
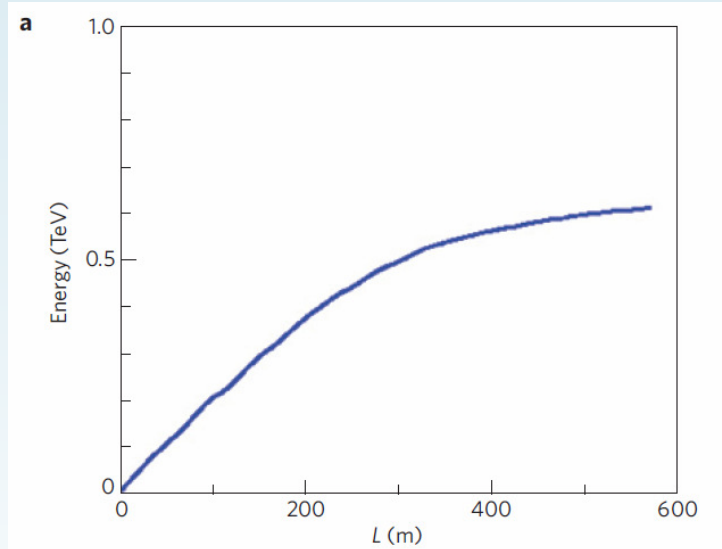


# Simulation result: (Nature physics)

## LHC beam driving electrons to 0.5 TeV after 500m

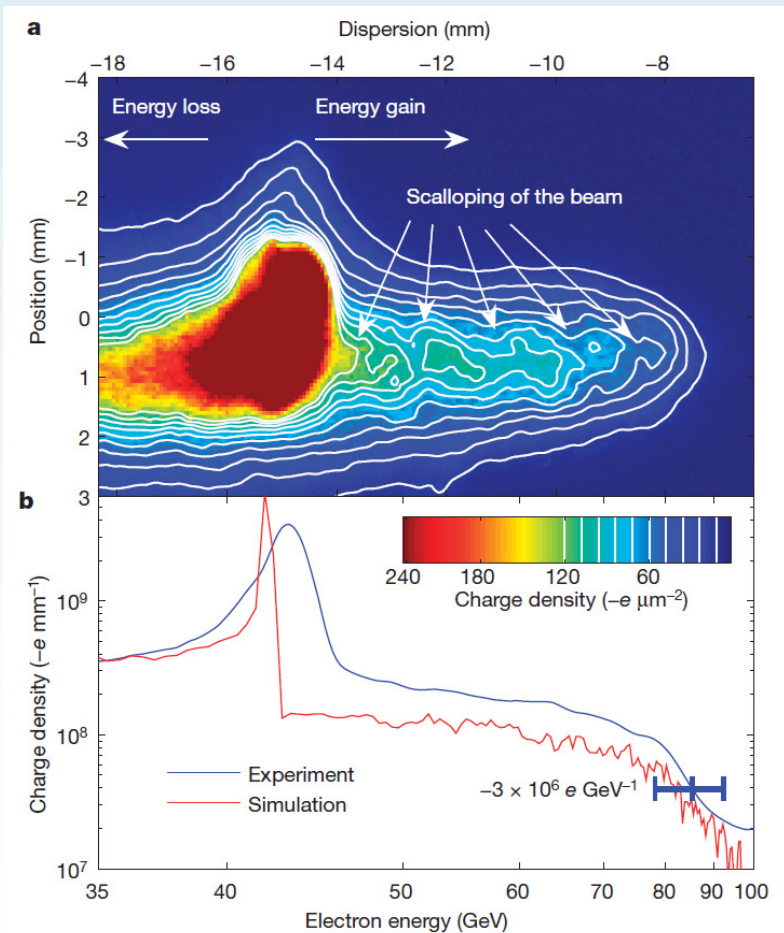


- Compressing the LHC beam longitudinally creates a strong sustainable acceleration.
- Currently, CERN beams are long...
  - But if we prove it works over 10m, then it may be worth investing in a beam compressor...



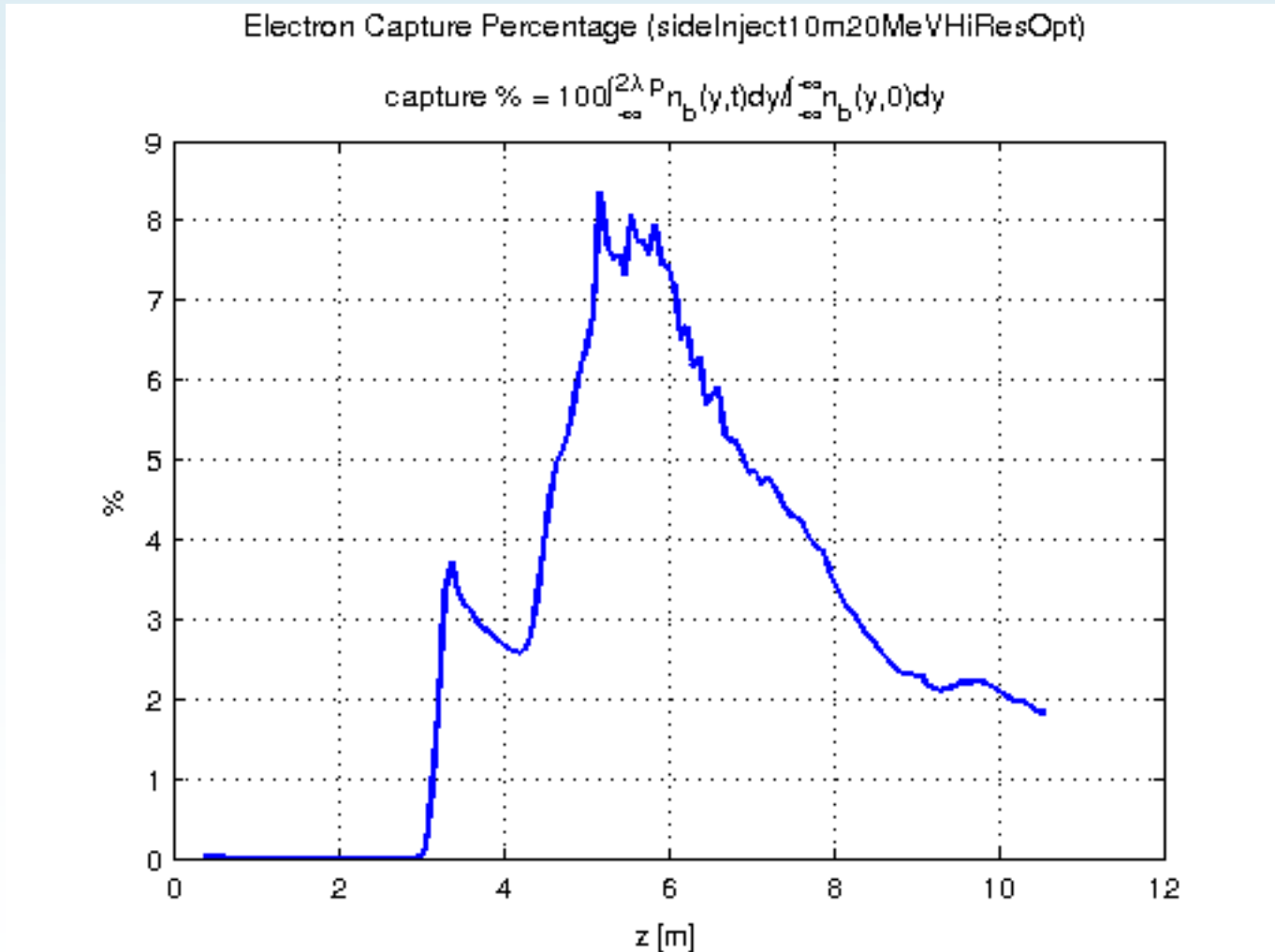
# SLAC Result: (Nature physics)

## Energy doubling of 42 GeV $e^-$ beam in $< 1\text{m}$



- Using a 42 GeV electron drive & witness beam, a small number of the trailing electrons reached over 80 GeV in 85 cm.

# Simulation (e<sup>-</sup> beam side-injection) Electron Capture Percentage



# Important Formulae

- Plasma wavelength  $\lambda_p$ 
  - Wavelength of plasma electron oscillations
- Skin depth  $c/\omega_p$ 
  - Maximum range of relativistic particles.
  - Also ideal value for the RMS beam width  $\sigma_r$
- Beam co-ordinate  $\xi$
- Maximum attainable electric field (via microbunching)
  - A beam of RMS length  $\sigma_z$  breaks up into microbunches of skin-depth length  
 $\sigma_\mu = \lambda_p/2\pi$
- Alfvén current
  - Limit of a stable beam before internal forces break up beam

$$\lambda_p = \frac{2\pi c \sqrt{m_e \epsilon_0}}{q_e} \sqrt{\frac{1}{n}}$$

$$c/\omega_p = \frac{\lambda_p}{2\pi}$$

$$\xi = z - ct$$

$$E_{z,\mu,\max} [V/m] = \frac{5q_e}{\pi e c \sqrt{m_e \epsilon_0}} \frac{N}{\lambda_p \sigma_z^2}$$

$$I_A = \frac{4\pi \epsilon_0 m_e c^3}{q_e} \approx 17 \text{ kA}$$